

**SONATA FINANCE PVT. LTD.**

II Floor CP-1, PG Towers, Kursi Road, Vikas Nagar,
Lucknow - 226026, Uttar Pradesh, India
Email : info@sonataindia.com, Website : www.sonataindia.com
Ph.: +91-522-2334900, Tol Free : 1800-2100-102
C.I. No.: U65921UP1995PTC035286
NBFC MR Regn. No.: B-12.00445

Ref: SEBI/BSE/2020-21/003

Date: April 14, 2020

Scrip Code: 952319, 955151& 956751

To,
Listing Department
BSE Limited
Phirozejeejeebhoy Towers,
Dalal Street, Fort,
Mumbai-400 001.

Subject: Compliance Certificate under regulation 7(3) of SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015

Dear Sir,

Please find enclosed herewith compliance certificate duly signed by the Company Secretary of the Company and the Authorised Representative of our Registrar & Share Transfer Agent (RTA) certifying compliance with the requirement of Regulation 7(2) for the half year ended 31st March 2020.

Thanking You.

For Sonata Finance Pvt Ltd



**Paurvi Srivastava
(Company Secretary)**

COMPLIANCE CERTIFICATE

[Pursuant to Regulation 7(3) of the SEBI (Listing Obligations & Disclosure Requirements) Regulation, 2015]

We, the undersigned being the Company Secretary of the Company and Authorised Representative of the Registrar & Share Transfer Agent do hereby certify that M/s Sonata Finance Private Limited having its registered office at II Floor, CP-1, PG Towers, Kursi Road, Vikas Nagar, Lucknow-226022 has appointed M/s NSDL Database Management Limited (Registered with SEBI having registration No. INR000004181) having its Registered Office at 4th Floor, Trade World A Wing, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai-400 013 as its Registrar & Share Transfer Agent for effecting transfer of its Debt Securities.

Further, NSDL Database Management Limited as a Registrar & Share Transfer Agent has properly conducted all the activities in relation to electronic transfer facilities of the debt securities of M/s Sonata Finance Private Limited from the date of its appointment as prescribed under Regulation 7(2) of SEBI (LODR) Regulation, 2015 during the half year from 1st October 2019 to 31st March 2020.

In our opinion & to the best of our Knowledge & information and according to the documents furnished to us, we here by certify that, the company has complied with the aforesaid regulations and has maintained all the records of the activities in relation to the RTA.

For Sonata Finance Private Limited



(Company Secretary)
Name: Paurvi Srivastava
Place: Lucknow
Date: 09-04-2020

For NSDL Database Management Limited

Digitally signed by Vijay Gupta
Date: 2020.04.13 09:13:15
+05:30

(Authorised Representative)