

**SONATA FINANCE PVT. LTD.**

II Floor CP-1, PG Towers, Kursi Road, Vikas Nagar,

Lucknow - 226026, Uttar Pradesh, India

Email : info@sonataindia.com, Website : www.sonataindia.com

Ph.: +91-522-2334900, Tol Free : 1800-2100-102

C.I. No.: U65921UP1995PTC035286

NBFC MFI Regn. No.: B-12.00445

**Ref: SEBI/BSE/2021-22/04****Date: 01<sup>st</sup> April, 2021****Scrip Code: 955151, 956751, 960086, 960230, 973075 & 973102**

To,  
The Listing Department  
Bombay Stock Exchange Limited  
P J Towers, Dalal Street,  
Mumbai-400001, India

**Subject: Compliance Certificate under Regulation 7(3) of SEBI (Listing Obligation and Disclosure Requirements) Regulation 2015**

Dear Sir/ Madam,

Please find the enclosed herewith compliance certificate duly signed by the Secretary of the Company and the Authorised Representative of our Registrar & Share Transfer Agent (RTA) certifying compliance with the requirement of Regulation 7(3) for the half year ended 31<sup>st</sup> March, 2021.

Request you to kindly take the same on your record.

*For Sonata Finance Private Limited*

*Pooja Sriyastava*  
Company Secretary  
ICSI Membership No. A34110  
101/305 Madhwapur, Allahabad-211003

Digitally signed  
by Vijay Gupta  
Date: 2021.04.05  
10:32:42 +05:30

**Regd. Office : II Floor CP-1, PG Towers, Kursi Road, Vikas Nagar, Lucknow - 226026, Uttar Pradesh, India**

## COMPLIANCE CERTIFICATE

[Pursuant to Regulation 7(3) of the SEBI (Listing Obligations & Disclosure Requirements) Regulation, 2015]

We, the undersigned being the Secretary of the Company and Authorised Representative of the Registrar & Share Transfer Agent do hereby certify that M/s Sonata Finance Private Limited having its registered office at II<sup>nd</sup> Floor, CP-1, PG Tower, Kursi Road, Vikas Nagar, Lucknow-226022 has appointed M/s NSDL Database Management Limited (Registered with SEBI having registration No. INR000004181) having its Registered Office at 4<sup>th</sup> Floor, Trade World A Wing, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai-400 013 as its Registrar & Share Transfer Agent for effecting transfer of its Debt Securities.

Further, NSDL Database Management Limited as a Registrar & Share Transfer Agent has properly conducted all the activities in relation to electronic transfer facilities of the debt securities of M/s Sonata Finance Private Limited from the date of its appointment as prescribed under Regulation 7(2) of SEBI (LODR) Regulation, 2015 during the half year from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021.

In our opinion & to the best of our Knowledge & information and according to the documents furnished to us, we here by certify that, the company has complied with the aforesaid regulations and has maintained all the records of the activities in relation to the RTA.

**For Sonata Finance Private Limited**

**Pauravi**  
**Srivastava**  
Digitally signed  
by Pauravi  
Srivastava  
Date: 2021.04.01  
15:15:15 +05'30'

**Date: 01-04-2021**

**For NSDL Database Management Limited**

**(Authorised Representative)**

**Name:** Mr. Vijay Gupta  
**Place:** Sr. Vice President