



SONATA Finance Private Limited

2nd Floor, CP-1, PG Towers, Kursi Road, Vikas Nagar,

Lucknow – 226026, Uttar Pradesh

CIN: U65921UP1995PTC035286

Contact No : 0522-4005729

Email: info@sonataindia.com

Website: www.sonataindia.com

Ref: SEBI/BSE/23-24/05

Date: April 04, 2023

Scrip Code: 949876

Company Code: 10494

To,
The Manager
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400 001

Subject: Submission of Compliance Certificate for Structural Digital Database (“SDD”) under Regulation 3(5) and 3(6) under SEBI (Prohibition of Insider Trading) Regulations, 2015 (“PIT Regulations”) for the quarter ended March 31, 2023.

Dear Sir/Madam,

Pursuant to Regulation 3(5) and 3(6) of the PIT Regulations, 2015, please find enclosed herewith the SDD Compliance Certificate (Annexure-1) for the quarter ended March 31, 2023.

We request you to take the above information on record.

Thanking You,

For Sonata Finance Private Limited

Pauravi
Srivastava

Digitally signed by
Pauravi Srivastava
Date: 2023.04.04
09:09:13 +05'30'

Paurvi Srivastava
Company Secretary

Encl: as above

**SONATA FINANCE PVT. LTD.**

II Floor CP-1, PG Towers, Kursi Road, Vikas Nagar,
Lucknow - 226026, Uttar Pradesh, India

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Ph.: +91-522-2334900, Tol Free : 1800-2100-102

C.I. No.: U65921UP1995PTC035286

NBFC MFI Regn. No.: B-12.00445

ANNEXURE 1**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED MARCH 31, 2023**

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **Paurvi Srivastava**, Compliance Officer of **SONATA FINANCE PRIVATE LIMITED** am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (**PIT Regulations**) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 4 (four) number of events during the quarter ended March 31, 2023 and has captured 4 (four) number of the said required events.

I would like to report that no non-compliance was observed in the previous quarter.

For Sonata Finance Private Limited


For **SONATA FINANCE PRIVATE LIMITED**
Paurvi Srivastava
Company Secretary
ICSI Membership No. A34110
2D/1/305, Madhwapur, Allahabad-211003

Paurvi Srivastava
(Company Secretary and Compliance Officer)

Date: 04/04/2023

Place: Lucknow