



SONATA Finance Private Limited

2nd Floor, CP-1, PG Towers, Kursi Road, Vikas Nagar,

Lucknow – 226026, Uttar Pradesh

CIN: U65921UP1995PTC035286

Contact No : 0522-4005729

Email: info@sonataindia.com

Website: www.sonataindia.com

Ref: SEBI/BSE/2022-23/059

Date: November 10, 2022

Scrip Code: 949876

Company Code: 10494

To,
The Manager
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400 001

Subject: Submission of Compliance Certificate for Structural Digital Database (“SDD”) under Regulation 3(5) and 3(6) under SEBI (Prohibition of Insider Trading) Regulations, 2015 (“PIT Regulations”).

Dear Sir/Madam,

Pursuant to Regulation 3(5) and 3(6) of the PIT Regulations, 2015, please find enclosed herewith the SDD Compliance Certificate (Annexure-1) for the quarter ended September 30, 2022.

Kindly take the above information in your records.

Thanking You,

For Sonata Finance Private Limited


Paurvi Srivastava
Company Secretary
ICSI Membership No. A34110
2D/1/305, Madhwapur, Allahabad-211003

Encl: as above

**SONATA FINANCE PVT. LTD.**

II Floor CP-1, PG Towers, Kursi Road, Vikas Nagar,

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C.I. No.: U65921UP1995PTC035286

NBFC MFI Regn. No.: B-12.00445

ANNEXURE 1**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 2022**

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **Paurvi Srivastava**, Compliance Officer of **SONATA FINANCE PRIVATE LIMITED** am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 (one) number of events during the quarter ended September 30, 2022 and has captured 1 (one) number of the said required events.

I would like to report that no non-compliance was observed in the previous quarter.

For **SONATA FINANCE PRIVATE LIMITED**

Paurvi Srivastava

(Company Secretary and Compliance Officer)



Date: 09/11/2022

Place: Lucknow