

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U65921UP1995PTC035286

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAECS9853J

(ii) (a) Name of the company

SONATA FINANCE PRIVATE LIM

(b) Registered office address

II FLOOR, CP. 1, PG. TOWERS,
KURSI ROAD, VIKAS NAGAR
LUCKNOW
Lucknow
Uttar Pradesh
226006

(c) *e-mail ID of the company

cs@sonataindia.com

(d) *Telephone number with STD code

05222334900

(e) Website

www.sonataindia.com

(iii) Date of Incorporation

06/04/1995

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes ☒ No

(vii) *Financial year From date 01/04/2023 (DD/MM/YYYY) To date 31/03/2024 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2024

(c) Whether any extension for AGM granted

☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	KOTAK MAHINDRA BANK LIMITED	L65110MH1985PLC038137	Holding	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	40,000,000	26,453,256	26,453,256	26,453,256
Total amount of equity shares (in Rupees)	400,000,000	264,532,560	264,532,560	264,532,560

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	40,000,000	26,453,256	26,453,256	26,453,256

Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	400,000,000	264,532,560	264,532,560	264,532,560

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	10,000,000	0	0	0
Total amount of preference shares (in rupees)	100,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	10,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	100,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	2,033,615	24,419,641	26453256	264,532,560	264,532,560	
Increase during the year	0	2,033,615	2033615	20,336,150	20,336,150	0
i. Public Issues	0	0	0	0	0	
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	

viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify Shares dematerialised during the year	0	2,033,615	2033615	20,336,150	20,336,150	+
Decrease during the year	2,033,615	0	2033615	20,336,150	20,336,150	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify Shares dematerialised during the year	2,033,615		2033615	20,336,150	20,336,150	+
At the end of the year	0	26,453,256	26453256	264,532,560	264,532,560	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify				0	0	
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes ☒ No ☐ Not Applicable

Separate sheet attached for details of transfers

☒ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting			
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2 - Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
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	Surname	middle name	first name
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Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
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	Surname	middle name	first name
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(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	3,360	1000000	3,360,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			3,360,000,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	3,585,000,000	0	225,000,000	3,360,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

5,959,376,568.43

(ii) Net worth of the Company

3,893,062,727.43

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	26,453,176	100	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others Nominees holding jointly with B&B	80	0	0	
	Total	26,453,256	100	0	0

Total number of shareholders (promoters)

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(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	

	Total	0	0	0	0
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Total number of shareholders (other than promoters)

0

**Total number of shareholders (Promoters+Public/
Other than promoters)**

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VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	1	9
Members (other than promoters)	65	0
Debenture holders	13	27

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	1	0	0	0	0	0
B. Non-Promoter	0	3	1	3	0	0
(i) Non-Independent	0	0	1	3	0	0
(ii) Independent	0	3	0	0	0	0
C. Nominee Directors representing	0	4	0	0	0	0
(i) Banks & FIs	0	1	0	0	0	0
(ii) Investing institutions	0	3	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	7	1	3	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

7

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
ANUP KUMAR SINGH	00173413	Managing Director	0	
ANUP KUMAR SINGH	AYMPS4431P	CEO	0	
DEVARAJAN KANNAN	00498935	Director	0	
TAPOBRAT CHAUDHARI	09291548	Director	10	
MANISH KOTHARI	10546805	Director	10	
AKHILESH KUMAR SINGH	BFLPS4623C	CFO	0	
ANJALI MANWANI SINGH	CDTPM2852G	Company Secretary	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

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Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SAURABH KUMAR	08469704	Nominee director	14/11/2023	Cessation
PAURVI SRIVASTAVA	DSGPS0523H	Company Secretary	31/05/2023	Cessation
ANJALI MANWANI SINGH	CDTPM2852G	Company Secretary	01/06/2023	Appointment
RANGANATHAN VALLABH	01060651	Nominee director	28/03/2024	Cessation
SHREEKANTA DAS	07306690	Nominee director	28/03/2024	Cessation
REMIKA AGARWAL	09438221	Nominee director	28/03/2024	Cessation
ANAL KUMAR JAIN	01239653	Director	28/03/2024	Cessation
SETHURAMAN GANESAN	07152185	Director	28/03/2024	Cessation
PRADIP KUMAR SARKAR	02947368	Director	28/03/2024	Cessation
ANUP KUMAR SINGH	AYMPS4431P	CEO	28/03/2024	Appointment
DEVARAJAN KANNAN	00498935	Director	28/03/2024	Appointment
TAPOBRAT CHAUDHARI	09291548	Director	28/03/2024	Appointment
MANISH KOTHARI	10546805	Director	28/03/2024	Appointment
ANUP KUMAR SINGH	00173413	Managing Director	28/03/2024	Re-Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

4

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	06/09/2023	66	20	52.88
Extra Ordinary General Meeting	07/07/2023	66	13	11.66
Extra Ordinary General Meeting	10/11/2023	66	14	46.76
Extra Ordinary General Meeting	28/03/2024	9	7	99.99

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	30/05/2023	8	7	87.5
2	10/08/2023	8	7	87.5
3	08/11/2023	8	5	62.5
4	30/01/2024	7	5	71.43
5	01/03/2024	7	7	100
6	28/03/2024	7	5	71.43

C. COMMITTEE MEETINGS

Number of meetings held

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1					

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
								(Y/N/NA)
1	ANUP KUMAR	6	6	100	11	11	100	
2	DEVARAJAN	1	1	100	1	1	100	
3	TAPOBRAT C	1	1	100	1	1	100	
4	MANISH KOT	1	1	100	1	1	100	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Anup Kumar Singh	Managing Director	21,260,000	0	0	0	21,260,000
	Total		21,260,000	0	0	0	21,260,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Akhilesh Kumar Singh	CFO	6,498,720	0	0	0	6,498,720
2	Anjali Manwani Siddhant	Company Secretary	879,770	0	0	0	879,770
	Total		7,378,490	0	0	0	7,378,490

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Anil Kumar

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

5592

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

(c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.

(d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by☐ Company Secretary☒ Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

List of attachments

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Details of Committee Meetings of M/s Sonata Finance Private Limited for the FY 2023-24

Sr. No.	Type of Meeting	Date of Meeting	Total number of members as on date of Meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	29-05-2023	5	5	100.00%
2	Audit Committee	09-08-2023	5	5	100.00%
3	Audit Committee	07-11-2023	5	3	60.00%
4	Audit Committee	29-01-2024	4	3	75.00%
5	Audit Committee	28-03-2024	3	3	100.00%
6	Nomination and Remuneration Committee	30-05-2023	4	4	100.00%
7	Nomination and Remuneration Committee	10-08-2023	4	4	100.00%
8	Nomination and Remuneration Committee	30-01-2024	4	3	75.00%
9	Nomination and Remuneration Committee	28-03-2024	4	3	75.00%
10	Corporate Social Responsibility Committee	29-05-2023	4	4	100.00%
11	Corporate Social Responsibility Committee	09-08-2023	4	4	100.00%
12	Corporate Social Responsibility Committee	07-11-2023	4	3	75.00%
13	Corporate Social Responsibility Committee	29-01-2024	4	3	75.00%
14	Risk Management Committee	29-05-2023	7	6	85.71%
15	Risk Management Committee	09-08-2023	7	5	71.43%
16	Risk Management Committee	07-11-2023	7	6	85.71%
17	Risk Management Committee	29-01-2024	7	7	100.00%
18	IT Strategy Committee	09-08-2023	6	6	100.00%
19	IT Strategy Committee	29-01-2024	6	6	100.00%

For Sonata Finance Private Limited

Ms. Anjali M. Siddiqui
(Company Secretary)
Membership No.- F12612

List of Shareholders
(as on 31st March 2024)

Sr.No	First Name	Middle Name	Last Name	DP-ID/ Client id/ Folio Number	Total number of Shares Held (in DEMAT Form)	Class of Shares
1.	Kotak	Mahindra	Bank Limited	1304110000000 675	2,64,53,176	Equity shares
2.	Manish	-	Kothari	IN300214/ 27509166	10	Equity shares
3.	Tapobrat	-	Chaudhuri	IN302814/ 12717763	10	Equity shares
4.	Avan	Kayomars	Doomasia	IN300214/ 27509158	10	Equity shares
5.	Sanjay	Dharmadatt	Bhatt	IN300214/ 27509182	10	Equity shares
6.	Prasad	Narhari	Lanke	IN300214/ 27509123	10	Equity shares
7.	Devang	Chandrakant	Gheewalla	IN300214/ 27509115	10	Equity shares
8.	Paritosh	-	Kashyap	IN300214/ 27509199	10	Equity shares
9.	Sambasiva	Kumar	Balasubrama nian	IN302814/ 12717722	10	Equity shares
	TOTAL				26,453,256	

For Sonata Finance Private Limited

Ms. Anjali M. Siddiqui
(Company Secretary)
Membership No.- F12612

List of Debenture holders

 (as on 31st March 2024)

Sr.No	First Name	Middle Name	Last Name	Number of debentures	Total amount of debentures (in Rs.)
1.	Northern Arc Income Builder Trust (Series II)	-	-	250	250,000,000.00
2.	BlueOrchard Microfinance Fund	-	-	400	400,000,000.00
3.	JAPAN ASEAN Women Empowerment Fund SA, SICAV-SIF	-	-	400	400,000,000.00
4.	BlueOrchard Microfinance Fund	-	-	400	400,000,000.00
5.	DWM Income Funds S C A SICAV SIF - The Trill Impact-DWM SDGS Credit Fund-VRR	-	-	375	375,000,000.00
6.	Northern Arc Capital Limited	-	-	225	225,000,000.00
7.	Manoharan	-	Sashirekha	1	1,000,000.00
8.	Sandeep	Kumar	Katiyar	1	1,000,000.00
9.	Nafeesa	-	Khan	1	1,000,000.00
10.	Shampa	-	Bhattacharyya	1	1,000,000.00
11.	Kuchimanchi	Venkata	Sanjana	2	2,000,000.00
12.	Robin	Bhanwarlal	Agarwal	1	1,000,000.00
13.	Preeti	-	Bagla	1	1,000,000.00
14.	Debaprasad	-	Bhattacharyya	1	1,000,000.00
15.	Zubeen	-	Mehrotra	1	1,000,000.00
16.	V.	-	Ranganathan	3	3,000,000.00
17.	Hetal	-	Sonpal	20	20,0,00,000.00
18.	Manoj	-	Dhupelia	5	5,000,000.00
19.	Kawal	Ajai	Verma	1	1,000,000.00
20.	Zainab	Asif	Moonim	1	1,000,000.00
21.	Shikha	Rahul	Khandelwal	1	1,000,000.00
22.	Ambrish	-	Dhupelia	5	5,000,000.00
23.	HD Ventures LLP	-	-	4	4,000,000.00
24.	V M Salgaocar and Brother Private Limited	-	-	100	100,000,000.00
25.	Covid-19 Emerging and Frontier Markets MSME Support Fund SCSP SICAV-RAIF (Global Invest sub-fund)	-	-	380	380,000,000.00
26.	JAPAN ASEAN Women Empowerment Fund SA, SICAV-SIF	-	-	380	380,000,000.00



SONATA FINANCE PVT. LTD.

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NBFC MFI Regn. No.: B-12.00445

27.	Stiching Juridisch Eigenaar ACTIAM Institutional Microfinance Fund III acting as legal owner of ACTIAM Financial Inclusion Fund VRR (Unlisted)	-	-	400	400,000,000.00
	TOTAL			3,360	3,360,000,000.00

For Sonata Finance Private Limited

Ms. Anjali M. Siddiqui
(Company Secretary)
Membership No.- F12612

DETAILS OF TRANSFER OF SHARES DURING FY 2023-24

S. No.	Type of Transfer	Name of Transferor	Name of Transferee	Total Number of shares	Date of Transfer
1.	Equity Shares	Anup Kumar Singh	Kotak Mahindra Bank Limited	1,894,212	28/03/2024
2.	Equity Shares	Caspian Impact Investment Adviser Private Limited, Trustee of Bellwether Microfinance Trust	Kotak Mahindra Bank Limited	672,292	28/03/2024
3.	Equity Shares	Caspian Impact Investment Private Limited	Kotak Mahindra Bank Limited	466,850	28/03/2024
4.	Equity Shares	Swaminathan Shankar Aiyar	Kotak Mahindra Bank Limited	471,375	28/03/2024
5.	Equity Shares	Sonata Employee Welfare Trust	Kotak Mahindra Bank Limited	285,630	28/03/2024
6.	Equity Shares	SIDBI Trustee Company Limited [A/c Samridhi Fund]	Kotak Mahindra Bank Limited	3,274,653	28/03/2024
7.	Equity Shares	Small Industries Development Bank of India (SIDBI)	Kotak Mahindra Bank Limited	707,214	28/03/2024
8.	Equity Shares	India Financial Inclusion Fund, LLC	Kotak Mahindra Bank Limited	1,480,634	28/03/2024
9.	Equity Shares	Michael & Susan Dell Foundation	Kotak Mahindra Bank Limited	918,945	28/03/2024
10.	Equity Shares	Creation Investments Social Ventures Fund I	Kotak Mahindra Bank Limited	1,491,121	28/03/2024
11.	Equity Shares	Creation Investments Social Ventures Fund II, LP.	Kotak Mahindra Bank Limited	5,901,934	28/03/2024
12.	Equity Shares	Societe De Promotion Et De Participation Pour La Cooperation Economique	Kotak Mahindra Bank Limited	3,977,013	28/03/2024
13.	Equity Shares	Legal Owner Triodos Funds B.V. (previously Triodos Custody B.V.), in its capacity as legal owner of Triodos Fair Share Fund	Kotak Mahindra Bank Limited	1,988,507	28/03/2024
14.	Equity Shares	Triodos SICAV II- Triodos Microfinance Fund	Kotak Mahindra Bank Limited	1,988,506	28/03/2024
15.	Equity Shares	Ashish Singh	Kotak Mahindra Bank Limited	47,000	28/03/2024
16.	Equity Shares	Tarun Kumar Srivastava	Kotak Mahindra Bank Limited	47,000	28/03/2024

17.	Equity Shares	Anil Kumar Gupta	Kotak Mahindra Bank Limited	34,400	28/03/2024
18.	Equity Shares	Bramhanand	Kotak Mahindra Bank Limited	96,400	28/03/2024
19.	Equity Shares	Dharmendra Kumar Singh	Kotak Mahindra Bank Limited	21,000	28/03/2024
20.	Equity Shares	Vinod Singh	Kotak Mahindra Bank Limited	24,300	28/03/2024
21.	Equity Shares	Vishwanath Pratap Singh	Kotak Mahindra Bank Limited	24,300	28/03/2024
22.	Equity Shares	Jitendra Kumar Yadav	Kotak Mahindra Bank Limited	25,000	28/03/2024
23.	Equity Shares	Hansika Thapliyal	Kotak Mahindra Bank Limited	20,000	28/03/2024
24.	Equity Shares	Mohd. Saif Khan	Kotak Mahindra Bank Limited	44,050	28/03/2024
25.	Equity Shares	Satya Prakash Yadav	Kotak Mahindra Bank Limited	24,000	28/03/2024
26.	Equity Shares	Vinay Pratap Singh	Kotak Mahindra Bank Limited	47,600	28/03/2024
27.	Equity Shares	Sanjeev Kumar Shukla	Kotak Mahindra Bank Limited	24,000	28/03/2024
28.	Equity Shares	Sanjay Kumar Singh	Kotak Mahindra Bank Limited	27,500	28/03/2024
29.	Equity Shares	Manoj Kumar Singh	Kotak Mahindra Bank Limited	23,600	28/03/2024
30.	Equity Shares	Ashok Kumar	Kotak Mahindra Bank Limited	34,000	28/03/2024
31.	Equity Shares	Rohit Singh	Kotak Mahindra Bank Limited	3,000	28/03/2024
32.	Equity Shares	Shyam Kanhaiya	Kotak Mahindra Bank Limited	34,400	28/03/2024
33.	Equity Shares	Sujeet Kumar Pathak	Kotak Mahindra Bank Limited	14,000	28/03/2024
34.	Equity Shares	Anil Kumar Singh	Kotak Mahindra Bank Limited	26,000	28/03/2024
35.	Equity Shares	Lakshman Singh	Kotak Mahindra Bank Limited	18,000	28/03/2024
36.	Equity Shares	Kunvar Digvijay Singh	Kotak Mahindra Bank Limited	19,700	28/03/2024
37.	Equity Shares	Rakesh Kumar Chaudhary	Kotak Mahindra Bank Limited	12,000	28/03/2024
38.	Equity Shares	Raj Kapoor Chaudhary	Kotak Mahindra Bank Limited	14,000	28/03/2024
39.	Equity Shares	Anshuman Tiwari	Kotak Mahindra Bank Limited	10,000	28/03/2024

40.	Equity Shares	Mukesh Kumar Verma	Kotak Mahindra Bank Limited	17,700	28/03/2024
41.	Equity Shares	Amit Kumar Singh	Kotak Mahindra Bank Limited	6,000	28/03/2024
42.	Equity Shares	Poonam Soni	Kotak Mahindra Bank Limited	12,000	28/03/2024
43.	Equity Shares	Vinod Kumar Singh	Kotak Mahindra Bank Limited	6,000	28/03/2024
44.	Equity Shares	Umesh Chandra Dwivedi	Kotak Mahindra Bank Limited	10,000	28/03/2024
45.	Equity Shares	Shashi Bhushan Singh	Kotak Mahindra Bank Limited	8,000	28/03/2024
46.	Equity Shares	Chandra Shekhar Prajapati	Kotak Mahindra Bank Limited	2,000	28/03/2024
47.	Equity Shares	Sandeep Kumar Singh	Kotak Mahindra Bank Limited	10,000	28/03/2024
48.	Equity Shares	Richa Sharma	Kotak Mahindra Bank Limited	25,000	28/03/2024
49.	Equity Shares	Hari Mohan	Kotak Mahindra Bank Limited	5,520	28/03/2024
50.	Equity Shares	Ravindra Pratap Singh	Kotak Mahindra Bank Limited	6,000	28/03/2024
51.	Equity Shares	Rajnath Yadav	Kotak Mahindra Bank Limited	9,400	28/03/2024
52.	Equity Shares	Brijbihari Singh	Kotak Mahindra Bank Limited	11,700	28/03/2024
53.	Equity Shares	Suresh Kumar Singh	Kotak Mahindra Bank Limited	10,000	28/03/2024
54.	Equity Shares	Narendra Kumar Patel	Kotak Mahindra Bank Limited	5,500	28/03/2024
55.	Equity Shares	Mithun Bose	Kotak Mahindra Bank Limited	6,000	28/03/2024
56.	Equity Shares	Jeetendra Kumar Yadav	Kotak Mahindra Bank Limited	6,000	28/03/2024
57.	Equity Shares	Sunil Kumar Gupta	Kotak Mahindra Bank Limited	12,800	28/03/2024
58.	Equity Shares	Dinesh Kumar Singh	Kotak Mahindra Bank Limited	7,000	28/03/2024
59.	Equity Shares	Niraj Pratap Singh	Kotak Mahindra Bank Limited	1,000	28/03/2024

60.	Equity Shares	Abdul Kadir Ansari	Kotak Mahindra Bank Limited	2,000	28/03/2024
61.	Equity Shares	Chhote Lal	Kotak Mahindra Bank Limited	2,000	28/03/2024
62.	Equity Shares	Laxmi Narayan Patel	Kotak Mahindra Bank Limited	8,000	28/03/2024
63.	Equity Shares	Deepak Kumar Jagati	Kotak Mahindra Bank Limited	5,500	28/03/2024
64.	Equity Shares	Rakesh Kumar Burman	Kotak Mahindra Bank Limited	8,000	28/03/2024
65.	Equity Shares	Reema Das	Kotak Mahindra Bank Limited	10,000	28/03/2024
66.	Equity Shares	Ajay Kumar Singh	Kotak Mahindra Bank Limited	6,000	28/03/2024
67.	Equity Shares	Kotak Mahindra Bank Limited	Devang Chandrakant Gheewalla (jointly with Kotak Mahindra Bank Limited)	10	28/03/2024
68.	Equity Shares	Kotak Mahindra Bank Limited	Prasad Narhari Lanke (jointly with Kotak Mahindra Bank Limited)	10	28/03/2024
69.	Equity Shares	Kotak Mahindra Bank Limited	Avan Kayomars Doomasia (jointly with Kotak Mahindra Bank Limited)	10	28/03/2024
70.	Equity Shares	Kotak Mahindra Bank Limited	Manish Kothari (jointly with Kotak Mahindra Bank Limited)	10	28/03/2024
71.	Equity Shares	Kotak Mahindra Bank Limited	Sanjay Dharmadatta Bhatt (jointly with Kotak Mahindra Bank Limited)	10	28/03/2024
72.	Equity Shares	Kotak Mahindra Bank Limited	Paritosh Kashyap (jointly with Kotak Mahindra Bank Limited)	10	28/03/2024
73.	Equity Shares	Kotak Mahindra Bank Limited	Sambasiva Kumar Balasubramanian (jointly with Kotak Mahindra Bank Limited)	10	28/03/2024
74.	Equity Shares	Kotak Mahindra Bank Limited	Tapobrat Chaudhuri (jointly with Kotak Mahindra Bank Limited)	10	28/03/2024

For Sonata Finance Private Limited

Ms. Anjali M. Siddiqui

(Company Secretary)

Membership No.- F12612